

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 20 pages) of:

Inventor(s): **Rajan M. Lukose**

For : **A SYSTEM AND METHOD FOR SELLING CONTINGENT INFORMATION**

**\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:**

☐ continuation ☐ divisional ☐ Continuation-In-Part (CIP)  
of prior application Serial No. \_\_\_\_\_

Prior application information: Examiner :  
Art Unit :

Enclosed are:

- ☒ 5 sheets of formal drawings.
- ☒ Signed Combined Declaration and Power of Attorney (2 pages).
- ☐ Copy of signed Combined Declaration and Power of Attorney (\_\_\_\_ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ Signed statement deleting inventor(s) named in prior application (\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☒ Assignment (1 page) of the invention to **Xerox Corporation**.
- ☒ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☐ Applicant claims small entity status. (See 37 CFR 1.27.)
- ☐ Preliminary Amendment (\_\_\_\_ pages).

- ☒ Information Disclosure Statement, form PTO-1449 (1 page) and 4 references.
- ☐ **UNSIGNED** Combined Declaration and Power of Attorney (\_\_\_\_ pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	30 - 20 =	10	x 9 =	\$	OR	x 18 =	\$180
INDEP CLAIMS	3 - 3 =	0	x 40 =	\$	OR	x 80 =	\$ 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x135 =	\$	OR	x270 =	\$ 0
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2			TOTAL	\$	OR	TOTAL	\$890

- ☒ Please charge my Deposit Account No. 24-0037 in the amount of \$890.00. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 24-0037. A duplicate copy of this sheet is enclosed.
- ☒ Address all future communications to:

Gunnar G. Leinberg, Esq.  
NIXON PEABODY LLP  
Clinton Square, P.O. Box 31051  
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Date: December 8, 2000

Gunnar Leinberg  
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**EXPRESS MAIL CERTIFICATE**

DOCKET NO. : **1508/3150 (A0856)**

APPLICANT(S) : **Rajan M. Lukose**

TITLE : **A SYSTEM AND METHOD FOR SELLING CONTINGENT  
INFORMATION**

Certificate is attached to the **Formal Drawings (5 pages)** of the above-named application.

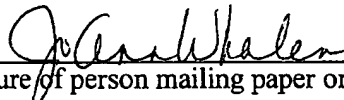
"EXPRESS MAIL" NUMBER: **EL640135753US**

DATE OF DEPOSIT: **December 8, 2000**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 **Box Patent Application.**

**Jo Ann Whalen**

(Typed or printed name of person mailing  
paper or fee)



(Signature of person mailing paper or fee)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	: Rajan M. Lukose	Examiner: To Be Assigned
Serial No.	: To Be Assigned	Art Unit: To Be Assigned
Filed	: Herewith	Batch No: To Be Assigned
For	: A SYSTEM AND METHOD FOR SELLING CONTINGENT INFORMATION	

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box: Patent Application

Dear Sir:

Applicants herewith submit five (5) sheets of formal drawings for the above-identified application

Respectfully submitted,

Date: December 8, 2000

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